

1 **A tertiary center experience of multiple myeloma patients with COVID-19:**  
2 **lessons learned and the path forward**

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43 Journal: Submitted Pending Review  
44 Article type: Research Article  
45 Word count:  
46 Tables: 4  
47 Figures: 1 (supplemental)  
48 References: 38  
49 Keywords: multiple myeloma, smoldering multiple myeloma, COVID-19, SARS, SARS-Cov-2,  
50 New York, pandemic

51 **Abstract**

52 **Background:** The COVID-19 pandemic, caused by SARS-CoV-2 virus, has resulted in over  
53 100,000 deaths in the United States. Our institution has treated over 2,000 COVID-19 patients  
54 during the pandemic in New York City. The pandemic directly impacts cancer patients and the  
55 organization of cancer care. Mount Sinai Hospital has a large and diverse multiple myeloma  
56 population. Here, we report the characteristics of COVID-19 infection and serological response  
57 in multiple myeloma (MM) patients in a large tertiary care institution in New York.

58  
59 **Methods:** We performed a retrospective study of a cohort of 58 patients with a plasma cell  
60 disorder (54 MM, 4 smoldering MM) who developed COVID-19 between March 1, 2020 and  
61 April 30, 2020. We report epidemiological, clinical and laboratory characteristics including  
62 persistence of viral detection by polymerase chain reaction (PCR) and anti-SARS-CoV-2  
63 antibody testing, treatments initiated, and outcomes.

64  
65 **Results:** Of the 58 patients diagnosed with COVID-19, 36 were hospitalized and 22 were  
66 managed at home. The median age was 67 years; 52% of patients were male and 63% were  
67 non-white. Hypertension (64%), hyperlipidemia (62%), obesity (37%), diabetes mellitus (28%),  
68 chronic kidney disease (CKD, 24%) and lung disease (21%) were the most common  
69 comorbidities. In the total cohort, 14 patients (24%) died. Older age (>70 years), male sex and  
70 cardiovascular risk were significantly ( $p<0.05$ ) associated with hospitalization. Among  
71 hospitalized patients, laboratory findings demonstrated elevation of traditional inflammatory  
72 markers (CRP, ferritin, D-dimer) and a significant ( $p<0.05$ ) association between elevated  
73 inflammatory markers, severe hypogammaglobulinemia, non-white race, and mortality. Ninety-  
74 six percent (22/23) of patients developed antibodies to SARS-CoV-2 at a median of 32 days  
75 after initial diagnosis. Median time to PCR negativity was 43 (range 19-68) days from initial  
76 positive PCR.

77

78 **Conclusions:** Drug exposure and MM disease status at the time of contracting COVID-19 had  
79 no bearing on patient outcome. Mounting a severe inflammatory response to SARS-CoV-2, and  
80 severe hypogammaglobulinemia were associated with higher mortality. These findings pave a  
81 path to identification of vulnerable patients who need early intervention to improve outcome of  
82 myeloma patients in future outbreaks of COVID-19. The majority of myeloma patients mounted  
83 a specific antibody response to SARS-CoV-2.

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## 102 **Introduction**

103 The coronavirus disease 2019 (COVID-19) pandemic, caused by Severe Acute Respiratory  
104 Syndrome Coronavirus-2 (SARS-CoV-2), represents a world-wide public health crisis. Patient  
105 care has been drastically altered, primarily in epidemic, urban areas. As of May 22, 2020, New  
106 York City had nearly 200,000 confirmed cases of COVID-19 with over 16,000 deaths and a  
107 patient death rate of 21%<sup>1</sup>, with cancer patients comprising about 8% of all COVID-19 fatalities  
108 in the state of New York<sup>2</sup>. Mount Sinai Hospital, a tertiary center in New York, has treated over  
109 2,000 admitted COVID-19 patients thus far. At our cancer center, we actively care for a large  
110 and particularly diverse population of over 3000 multiple myeloma (MM) patients. Like many  
111 other centers in the region and the world, clinical care at our institution has seen significant  
112 changes in an attempt to mitigate the spread of SARS-CoV-2 to vulnerable cancer patients  
113 receiving treatment. Balancing the competing risks of treatment delay or alteration versus  
114 infection is essential and depends upon understanding the clinical profile of COVID-19 in this  
115 vulnerable population.

116  
117 Limited studies describing the impact of COVID-19 both in the United States<sup>3</sup> and abroad<sup>4-7</sup>  
118 suggest a higher risk of hospitalization and poor outcomes including death in certain subsets of  
119 cancer patients. The effect of COVID-19 on patients with MM, the second most common  
120 hematological malignancy, is of particularly great concern due to immunosuppression  
121 associated with the disease, and at this time remains incompletely understood. MM is a plasma  
122 cell malignancy, diagnosed at a median age around 70 years in patients often with multiple  
123 comorbidities<sup>8</sup>. MM is associated with both cellular and humoral immune dysfunction and  
124 causes a state of generalized immune suppression, leaving patients especially vulnerable to  
125 infections<sup>9,10</sup>.

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127 In contrast to the reported immunosuppressive nature of MM, COVID-19 infection has  
128 demonstrated propensity for triggering an uncontrolled immune inflammatory cascade<sup>11-13</sup> that  
129 bears resemblance to cytokine release syndrome (CRS) seen in patients treated with chimeric  
130 antigen receptor (CAR) T cells and bispecific antibodies<sup>14,15</sup>. Inflammatory markers and  
131 cytokines, including C-reactive protein (CRP), ferritin, interleukin (IL)-6, have been significantly  
132 elevated in multiple cohorts of patients infected with COVID-19<sup>16-19</sup>.

133  
134 We aimed to characterize the population of MM patients at our institution who developed  
135 COVID-19 in the epicenter of the pandemic in the United States. To address this, we  
136 retrospectively analyzed a cohort of 58 MM and smoldering MM (SMM) patients treated at the  
137 Mount Sinai Hospital who were diagnosed with COVID-19 between March 1 and April 30, 2020.  
138 We have identified several demographic characteristics and comorbidities associated with  
139 hospitalization and elevation of certain inflammatory markers associated with increased  
140 mortality as described below.

141

## 142 **Methods**

### 143 **Study design, inclusion criteria and data collection**

144 The study was designed from a register of patients with SMM and MM in any phase of  
145 response, currently receiving treatment or follow-up at the Mount Sinai Hospital. All patients with  
146 a confirmed or presumptive diagnosis of COVID-19 between March 1, 2020 and April 30, 2020  
147 were considered potentially relevant. Infection with SARS-CoV-2 was confirmed by Roche  
148 Cobas 6800 polymerase chain reaction (PCR) in patients that were treated at the Mount Sinai  
149 Hospital. For patients admitted to other hospital systems, inclusion was based on external  
150 reporting and follow up testing confirmation. Similarly, outpatients that reported a positive  
151 COVID-19 test to our clinic (e.g. over the phone) were included in the analysis, awaiting  
152 collection of their formal test results. Anti-SARS-CoV-2 antibody testing was performed using an

153 anti-IgG assay developed at Mount Sinai Health System Department of Pathology in  
154 collaboration with the Icahn School of Medicine at Mount Sinai Department of Microbiology  
155 under a Food and Drug Administration (FDA) Emergency Use Authorization. We reviewed  
156 clinical charts, nursing records, laboratory findings and radiological images for patients and  
157 obtained demographic data from the electronic medical records. Plasma levels of selected  
158 inflammatory cytokines, including IL-1 $\beta$ , IL-6, IL-8 and tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ), were  
159 assessed using the ELLA rapid detection enzyme-linked immunosorbent assay (ELISA)  
160 microfluidics platform and made available through the Mount Sinai data warehouse for  
161 hospitalized patients. Treatment response criteria were used as defined by the International  
162 Myeloma Working Group (IMWG)<sup>20,21</sup>. This retrospective study was approved by the institutional  
163 review board (IRB) of the Mount Sinai Hospital and is in compliance with the Declaration of  
164 Helsinki and International Conference on Harmonization Guidelines for Good Clinical Practice  
165 (IRB: GCO#: 11-1433).

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### 167 **Statistical analysis**

168 Continuous variables are presented as a median and interquartile range (IQR). Categorical  
169 variables are shown as percentage and absolute number of patients. Wherever two outcome  
170 groups are compared, Fisher's exact test was used to determine significance and odds ratios  
171 (ORs) were reported for categorical variables and Mann-Whitney U test was used to determine  
172 significance for continuous variables. A two-sided alpha < 0.05 was considered statistically  
173 significant. All statistical analyses were done using R (version 3.6.1).

174

### 175 **Data availability**

176 The datasets analyzed during the current study are not publicly available due to United States  
177 Federal Health Insurance Portability and Accountability Act (HIPAA) compliance, but a de-  
178 identified dataset may be available from the corresponding author on reasonable request.

179

## 180 **Results**

### 181 **Baseline characteristics**

182 Our cohort of 58 patients encompassed 52% males and had a median age of 67 years (IQR:  
183 12.5 years), with 17% of patients older than 75 years (**Table 1**). The median body mass index  
184 (BMI) was 27.6 kg/m<sup>2</sup> (with 37% of patients with a BMI > 30 kg/m<sup>2</sup>). The majority of patients  
185 reported being non-white (63%), with 13 (23%) patients of African American and 9 (16%) of  
186 Hispanic origin.

187

188 The most common comorbidities were hypertension (64%), hyperlipidemia (62%), previous or  
189 active smoking (37%), diabetes mellitus type 2 (28%), chronic kidney disease (CKD, estimated  
190 glomerular filtration rate (eGFR) <60 mL/min) (24%) and lung disease (21%), including asthma  
191 or chronic obstructive lung disease (COPD). Thirty-two patients (55%) had a high-risk  
192 cardiovascular profile (defined as having ≥2 of the conditions: hypertension, hyperlipidemia and  
193 diabetes) and 13 (22%) had coronary artery disease (CAD) and/or cerebrovascular disease.  
194 Seven (12%) patients had congestive heart failure. Twelve (21%) patients were on therapeutic  
195 anticoagulation and 34 (59%) were on aspirin, while 26 (45%) patients were on an angiotensin-  
196 converting-enzyme (ACE) inhibitor or angiotensin II receptor blocker (ARB).

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### 198 **Myeloma characteristics**

199 The cohort included 54 MM and 4 SMM patients (**Table 2**). The median time from diagnosis to  
200 COVID-19 infection was 29.8 months (IQR: 44.2 months). MM patients had a median of 1.5  
201 (IQR: 2) lines of therapy and 9 (17%) patients had more than 4 previous lines of treatment.

202 Twenty-two (41%) patients had a prior autologous stem cell transplant (ASCT). The median age  
203 of patients with and without prior ASCT was 63.5 and 70 years, respectively. Of all patients, 27  
204 (47%) had an Eastern Cooperative Oncology Group (ECOG) performance status of 0 at the  
205 time of COVID-19 infection. The most common myeloma subtype was IgG (59%) followed by  
206 IgA (19%), with light chain involvement in 33% of cases. High-risk cytogenetics were present in  
207 22 (39%) patients, with 18 (33%) patients having an international staging system (ISS) of 1,  
208 while 14 (26%) and 10 (19%) patients had ISS 2 and 3, respectively, at time of diagnosis. At  
209 time of SARS-CoV-2 infection, 3 SMM and 8 MM patients were not on therapy. Among the  
210 remaining patients, 28 (48%) patients were being treated with daratumumab, 32 (55%) patients  
211 were on immunomodulatory drugs (IMiDs), 22 (38%) were on a proteasome inhibitor (PI), 5  
212 (9%) were on venetoclax, and 30 (52%) patients were on concomitant corticosteroids.

213  
214 The disease status at the time of SARS-CoV-2 infection included 15 (26%) patients in complete  
215 response (CR) or strict CR (sCR), 11 (19%), 13 (22%) and 2 (3%) patients who had a very good  
216 partial response (VGPR), partial response (PR) and stable disease (SD), respectively, and 9  
217 (16%) who had progressive disease (PD). Response status was not evaluable for 8 (14%) of  
218 patients (including 4 SMM patients and 1 newly diagnosed patient).

219  
220 Biochemical parameters at the last routine clinic visit before presentation with COVID-19 were  
221 collected to determine if these steady-state parameters would provide insight into which patients  
222 are particularly vulnerable (**Table 2**). Twenty patients (35%) had leukopenia ( $<4 \times 10^9/L$ ) and 7  
223 (12%) lymphocytopenia (grade 3,  $<0.5 \times 10^9/L$ ) at their last clinic visit. The monoclonal spike (M-  
224 spike) was undetectable in 31 (54%) patients. Median serum IgG level of all patients was 805  
225 mg/dL (IQR: 736 mg/dL) and 37% (21/57) of patients had hypogammaglobulinemia ( $< 700$   
226 mg/dL), while 11% (6/57) of patients had severe ( $< 400$  mg/dL) hypogammaglobulinemia.



227 Immunoparesis, defined as a reduction in one or more of the uninvolved immunoglobulins below  
228 the lower limit of normal<sup>22</sup>, was present in 89% (51/57) of patients.

229

### 230 **Clinical course and biochemical parameters**

231 The most common reported symptoms among all patients were fever (70%), cough (65%), and  
232 dyspnea (45%). Thirty-six patients were admitted at a hospital for inpatient care, 23 of which  
233 were admitted at our healthcare system and had both clinical and biochemical parameters  
234 available, as shown in **Table 3**. The median time between self-reported symptom onset and  
235 admission was 3 days. Among the 23 patients, 16 (70%) were febrile, and 11 (48%) were  
236 tachycardic with a heart rate >100 beats per minute (bpm) at time of presentation. Ten (43%)  
237 patients required immediate oxygen support: 7 needed a nasal cannula or non-rebreather mask,  
238 1 needed high flow oxygen and 2 were immediately intubated and required mechanical  
239 ventilation.

240

241 During their hospital stay, 22 (95%) patients developed fever, 18 (78%) tachycardia (>100 bpm)  
242 and 18 (78%) hypoxemia (SpO<sub>2</sub> < 93%). Five (22%) patients never required supplemental  
243 oxygen and 10 (40%) needed a nasal cannula or non-rebreather mask at some point during  
244 hospitalization. Four (17%) patients were treated with high-flow oxygen, continuous positive  
245 airway pressure (CPAP) or bilevel positive airway pressure (BiPAP) machines and five (22%)  
246 were eventually intubated. Seven (30%) patients required intensive care unit (ICU) care during  
247 their hospitalization. The median length of stay was 22 days. Of the 23 patients admitted to our  
248 hospital with COVID-19, seven (30%) died. When we consider the total hospitalized cohort (36  
249 patients, i.e. including patients admitted at other hospitals), the mortality rate was 39% (14  
250 patients). There were no deaths reported in patients who were not hospitalized among the total  
251 cohort.

252

253 Patients presented with multiple elevated inflammatory markers, including CRP (median: 89  
254 mg/L), ferritin (median: 595 µg/L), IL-6 (median: 82.4 pg/mL), whereas procalcitonin was normal  
255 (median: 0.2 ng/mL). Leukocytes were not elevated (median:  $4.3 \times 10^9/L$ ) and the absolute  
256 lymphocyte count was low (median  $0.6 \times 10^9/L$ ) whereas absolute neutrophil count was within  
257 normal range (median  $3.6 \times 10^9/L$ ). On initial presentation, lactate dehydrogenase (LDH,  
258 median 249.5 U/L), fibrinogen (median 600 mg/dL) and D-dimer (median 1.2 mg/L) were  
259 elevated but transaminases were normal (median aspartate aminotransferase (AST) and  
260 alanine aminotransferase (ALT): 24 U/L and 20 U/L, respectively). Peak levels for these  
261 markers are shown in **Table 3** and temporal trends for a subset of patients are illustrated in  
262 **Supplementary Figure S1**. CRP and ferritin peaked early (within the first 10 days of  
263 hospitalization) and subsequently demonstrated a downward trend over time, with a slower  
264 decline in ferritin levels notable in patients that eventually died. D-dimer level was transiently  
265 elevated in some patients but was persistently and progressively elevated in all patients that  
266 eventually died. The inflammatory cytokines IL-1 $\beta$ , IL-6, IL-8 and TNF- $\alpha$ , were assessed over  
267 the duration of hospitalization at the discretion of the treating physician. Peak levels for IL-6, IL-  
268 8 and TNF- $\alpha$  were elevated (median: 128.5 pg/mL, 65.4 pg/mL and 28.7 pg/mL, respectively)  
269 and levels for IL-1 $\beta$  were generally low (median: 0.5pg/mL).

270

271 We describe COVID-19 management comprehensively for the 23 patients hospitalized at our  
272 center and their outcome (**Table 3**). One (4%) patient received remdesivir, 17 (74%) patients  
273 received hydroxychloroquine and 17 (74%) patients received azithromycin. Nineteen (82%)  
274 patients were treated with other antibiotics, most commonly a beta-lactam antibiotic  $\pm$   
275 vancomycin (n = 16) for presumed bacterial superinfection. Six (26%) patients received  
276 granulocyte colony stimulating factor (G-CSF) and 18 patients (78%) received therapeutic  
277 anticoagulation, 13 of which had not been on full anticoagulation before COVID-19. Patients  
278 were treated with a direct oral anticoagulant (DOAC, n = 3), therapeutic doses of enoxaparin (n

279 = 8) or a heparin drip (n = 2). There were no major bleeding events. Ten (43%) patients were  
280 given systemic corticosteroids. One (4%) patient was treated with convalescent plasma. Anti-  
281 TNF $\alpha$ , anti-IL-6 and anti-IL-1 therapy was initiated in 1 (4%), 4 (17%) and 2 (9%) patients,  
282 respectively. Five patients (22%) were given low dose selinexor, a selective inhibitor of nuclear  
283 export, for its presumed activity against virus host protein interaction<sup>23</sup> and to counter  
284 amplification of pro-inflammatory signaling<sup>24</sup>.

285

### 286 **Antibody serology and repeat PCR testing**

287 We collected data on antibody testing and follow up PCR testing for patients. As of May 28,  
288 2020, 96% (22/23) of patients have developed antibodies against SARS-CoV-2 at a median  
289 time of 32 (range 6-50) days since COVID-19 diagnosis. Titers ranged from 1:160 to 1:2880,  
290 with 73% (16/22) exhibiting the most significant titer level of 1:2880, 9% (2/22) with 1:960, 9%  
291 (2/22) with 1:320, and 4.5% (1/22) with 1:160. Antibody titer did not correlate with severity of  
292 disease, yet we observed that all 5 MM patients with low (< 1:2880) titers had  
293 hypogammaglobulinemia. The one patient who did not develop any antibodies had SMM and  
294 was tested 27 days after initial diagnosis. So far, 27 patients have undergone repeat PCR  
295 testing; 74% (20/27) are negative and median time to PCR negativity was 43 (range 19-68)  
296 days from initial positive PCR. Among the 22 patients with positive antibody titers, 18 patients  
297 had repeat PCR swab and 3 remained positive while 15 were negative.

298

### 299 **Clinical associations**

300 In a univariate analysis on all patients, we found that the following variables were significantly  
301 associated with hospitalization, as shown in **Table 4**: age over 70 (OR 7.74, p = 0.007), male  
302 sex (OR 3.70, p = 0.030), diabetes mellitus type 2 (OR 6.18, p = 0.016), high cardiovascular risk  
303 profile (OR 3.42, p = 0.032), history of CAD (OR  $\infty$ , p = 0.009), history of CHF (OR  $\infty$ , p =  
304 0.037), use of statins (OR = 12.10, p < 0.001) and use of beta blockers (OR 9.63, p = 0.002).

305 We also noted significant associations between hospitalization status and grade 3  
306 lymphocytopenia (OR  $\infty$ ,  $p = 0.036$ ) at the last clinic visit prior to COVID-19 infection.

307  
308 Similarly, for hospitalized patients, using a univariate approach, we found statistically significant  
309 association between mortality and these variables: non-white race (OR 10.49,  $p = 0.011$ ), statin  
310 use (OR 6.21,  $p = 0.012$ ), severe hypogammaglobulinemia (OR 7.80,  $p = 0.027$ ), and higher  
311 peak levels of D-dimer ( $p = 0.004$ ), ferritin ( $p = 0.007$ ), procalcitonin ( $p = 0.010$ ), and CRP ( $p =$   
312  $0.019$ ). The full list of associations is shown in **Table 4**.

313

## 314 **Discussion**

315 Situated in the heart of New York City, our cancer center at Mount Sinai Hospital bore witness  
316 to the immense disruption of healthcare caused by COVID-19. During the initial phase of the  
317 pandemic, the goal was to keep patients at home following federal and state guidelines of  
318 isolation, social distancing, and strict hand hygiene<sup>25-27</sup>. Patients were switched to all oral  
319 regimens if possible or had delayed therapy depending on perceived risk of need for therapy to  
320 control myeloma versus exposure to SARS-CoV-2. Yet community transmission of SARS-CoV-  
321 2 during the pandemic was inevitable.

322

323 There were no deaths among myeloma patients with milder symptoms who were managed  
324 entirely as outpatients with COVID-19 in this cohort. The mortality rates of the overall cohort ( $n$   
325  $= 58$ ), MM patients admitted to Mount Sinai Hospital ( $n = 23$ ), and all admitted MM patients ( $n =$   
326  $36$ ) were 24%, 30%, and 39% respectively. These figures are in line with the overall mortality  
327 seen in New York, where the estimated mortality among hospitalized patients over 45 years old  
328 is 37% as of May 25, 2020<sup>1,28</sup>. Interestingly the mortality among our cohort of MM patients was  
329 lower than the 54.6% seen in a mixed cohort of MM patients treated in Britain<sup>29</sup>. We

330 acknowledge that the apparent mortality differences between different countries and health  
331 systems may be affected by the local epidemiology, hospitalization and resource utilization rates  
332 and potential differences in escalation of care. However, in both of these populations, there  
333 appeared to be a trend toward increased mortality in patients of non-white/Caucasian  
334 background. This has been consistently seen in the United States, where death rates for  
335 COVID-19 are several fold higher in patients of Black and Hispanic origins<sup>30-32</sup>.

336  
337 MM specific disease characteristics, history of ASCT, and the type of MM treatment were not  
338 associated with increased mortality. In contrast, we observed that age and cardiovascular risk  
339 factors (diabetes, CAD, CHF) were significantly associated with patient hospitalization for  
340 COVID-19. The data from our cohort showed that non-white background, severe (< 400 mg/dL)  
341 hypogammaglobulinemia, and statin use were significantly associated with mortality. This  
342 information would indicate that during the post pandemic phase, we do not have to change the  
343 management of myeloma patients. However, earlier diagnosis of COVID-19 and prompt  
344 intervention especially for the vulnerable population identified above is warranted to reduce the  
345 risk of mortality. As we reopen and move forward into a post-COVID era, we will need to remain  
346 vigilant, particularly for select patient groups, and await effective COVID-19 treatments while  
347 balancing the need to manage patients' myeloma.

348  
349 We were able to capture the evolution of inflammatory markers for patients who were admitted  
350 to the inpatient service and we found a significant association with mortality in patients who had  
351 elevated D-dimer, CRP, or ferritin. Many COVID-19 patients treated at our institution also  
352 received a rapid panel for cytokine testing as part of a larger study to characterize the  
353 inflammatory profile of COVID-19 illness. Among our cohort of hospitalized patients, those who  
354 died appeared to exhibit rather elevated pro-inflammatory cytokines, consistent in principle with  
355 what was seen in a large COVID-19 cohort analyzed at the Mount Sinai Health System<sup>33</sup>. It is

356 possible that a CRS-like syndrome, similar though not identical to one seen in MM patients  
357 treated with CAR-T<sup>14,34</sup> and bispecific antibodies<sup>35,36</sup>, occurs in a significant portion of MM  
358 patients afflicted with COVID-19. We noted that patients who died from COVID-19 had  
359 alarmingly elevated D-dimer levels compared to survivors (median of 18.24 mg/L vs 1.96 mg/L).  
360 Emerging research suggests that the overwhelming immune activation during SARS-CoV-2  
361 infection is a potent catalyst for significant arterial and venous thromboembolism leading to  
362 strokes and pulmonary emboli<sup>16,37</sup>, and serum pro-inflammatory cytokines including IL-1 $\beta$ , TNF-  
363  $\alpha$ , and IL-6 have been tied to endothelial damage underlying thrombus formation seen in  
364 COVID-19<sup>38</sup>. To counter this possibility, a large majority of patients admitted to our institution in  
365 this cohort received therapeutic anticoagulation and none suffered bleeding events. Our data  
366 regarding inflammatory markers raises the question if the process driving severe D-dimer  
367 elevation in MM patients with COVID-19 is the same or is separate from the CRS-like process  
368 seen in many COVID-19 patients.

369  
370 Data on the persistence of SARS-CoV-2 by PCR and development of specific antibody  
371 response to the virus in potentially immunocompromised cancer patients have thus far been  
372 lacking. A significant majority of tested patients among this cohort cleared infection by PCR and  
373 developed antibodies despite a very high proportion of patients who fit the definition of classical  
374 myeloma-associated immunoparesis. Immunoparesis alone was not significantly associated  
375 with hospitalization or mortality and importantly did not appear to affect the development of anti-  
376 SARS-CoV-2 antibodies. Looking forward, we will need to determine if development of  
377 antibodies confers protection against reinfection.

378  
379 This study has the limitations of single institution, retrospective reporting of a smaller cohort of  
380 patients. Serological data were not available for a minority of the patients who were hospitalized  
381 at outside institutions. The observations reported here have to be confirmed by a larger series of

382 data collected from multiple institutions and such efforts are underway. Few patients received  
383 COVID-19 directed treatment on clinical trials. The role of recently emergency approved anti-  
384 viral agent remdesivir or convalescent plasma should be explored in the high-risk population  
385 with myeloma.

386

## 387 **Conclusions**

388 In this study of patients treated for myeloma at the Mount Sinai Hospital, we provide  
389 a detailed analysis of a cohort of 58 MM and SMM patients who developed COVID-19. Although  
390 several demographic factors and comorbidities increased risk of hospitalization and mortality,  
391 myeloma response state, therapy and immunoparesis did not influence outcomes. In fact,  
392 survival was comparable to the overall population of New York during the pandemic, and  
393 patients generally mounted a significant antibody response to SARS-CoV-2. The data herein  
394 supports the need to maintain proactive management of MM patients by balancing their need for  
395 therapy with the increased risk of hospitalization and death in a subset of MM patients with  
396 COVID-19.

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## 402 **Abbreviations**

403 ACE: angiotensin-converting-enzyme; ALT: alanine aminotransferase; ARB: angiotensin  
404 II receptor blocker; ASCT: autologous stem cell transplant; AST: aspartate  
405 aminotransferase; BiPAP: bilevel positive airway pressure; BMI: body mass index; bpm:  
406 beats per minute; CAD: coronary artery disease; CAR: chimeric antigen receptor; CHF:  
407 congestive heart failure; CKD: chronic kidney disease; COPD: chronic obstructive lung  
408 disease; COVID-19: coronavirus disease 2019; CPAP: continuous positive airway  
409 pressure; CR: complete response; CRS: cytokine release syndrome; CRP: C-reactive  
410 protein; DOAC: direct oral anticoagulant; ECOG: Eastern Cooperative Oncology Group;  
411 eGFR: estimated glomerular filtration rate; ELISA: enzyme-linked immunosorbent  
412 assay; G-CSF: granulocyte colony stimulating factor; HIPAA: Health Insurance  
413 Portability and Accountability Act; IMWG: International Myeloma Working Group; ICU:  
414 intensive care unit; IL: interleukin; IMiD: immunomodulatory drug; IRB: institutional  
415 review board; IQR: interquartile range; ISS: international staging system; LDH: lactate  
416 dehydrogenase; MM: Multiple myeloma; OR: odd's ratio; PCR: polymerase chain  
417 reaction; PD: progressive disease; PI: proteasome inhibitor; PR: partial response;  
418 SARS-CoV-2: Severe Acute Respiratory Syndrome Coronavirus 2; sCR: strict complete  
419 response; SD: stable disease; SMM: smoldering multiple myeloma; TNF- $\alpha$ : tumor  
420 necrosis factor- $\alpha$ ; VGPR: very good partial response.

421

## 422 **Author Contributions**

423 Conceptualization, BW, OVO, THM, SJ, SP, and DM; Methodology, OVO; Investigation, BW,  
424 OVO, THM, DV and SG; Writing – Original Draft, BW, OVO, THM; Writing – Review & Editing,



425 all authors; Funding Acquisition, S.J.; Resources, MM, SJ, SP and DM; Supervision, MM, SJ, SP  
426 and DM.

427

#### 428 **Conflict of Interest:**

429 A.C.: Advisory board and consulting fees from Amgen, Antegene, Celgene, Janssen,  
430 Karyopharm, Millennium/Takeda, Novartis Pharmaceuticals, Oncopeptides, Sanofi; research  
431 funding from Amgen, Celgene, Janssen, Millennium/Takeda, Novartis Pharmaceuticals,  
432 Pharmacyclics. S. J.: Advisory board and consulting fees from Celgene, Bristol-Myers Squibb,  
433 Janssen Pharmaceuticals and Merck. H. J. C: Employed by the Multiple Myeloma Research  
434 Foundation, advisory board and consulting fees from Genetech, Celgene, Bristol Myers Squibb,  
435 GlaxoSmithKline and received research funding from Takeda, Celgene, and Genetech. D. M.:  
436 Advisory board and consulting fees from Janssen, Celgene, Bristol Myers Squibb, Takeda,  
437 Legend, GlaxoSmithKline, Kinevant, and Foundation Medicine. B.W.: Consulting fees from  
438 Sanofi Genzyme. J. R.: Speaking fees from Celgene and Janssen, advisory board and  
439 consulting fees from Celgene, Janssen, Bristol Myers Squibb, Oncopeptides, Adaptive  
440 Biotechnologies, X4 Pharmaceuticals, Karyopharm, and Antegene. S. P.: Consulting fees from  
441 Foundation Medicine, research funding from Celgene and Karyopharm. Supported by  
442 1R01CA244899-01A1.

443

444 All other authors declare no potential conflict of interest.

445

#### 446 **Acknowledgements**

447 We would like to acknowledge all the staff and families for the selfless efforts in caring for  
448 patients who developed COVID-19, and the strength and courage of all patients affected by the  
449 pandemic.

450

451 **Funding**

452 There is no outside funding declared for this study.

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540 **Tables**

541 **Table 1: Demographics and baseline characteristics of patients**

	All patients n = 58		Patients not admitted to hospital n = 22		Hospitalized, discharged alive n = 22		Hospitalized, deceased n = 14	
<b>Demographics</b>								
Age (years)	67	[12.5]	64	[11.5]	71	[18.5]	68	[8]
Sex (male)	52%	(30)	32%	(7)	68%	(15)	57%	(8)
Race (non-white)	63%	(36/57)	55%	(12)	55%	(12/22)	92%	(12)
BMI (kg/m <sup>2</sup> )	27.6	[7.9]	26.1	[5.3]	28.2	[10.2]	29.5	[9.9]
Obesity (BMI > 30 kg/m <sup>2</sup> )	37%	(21/57)	27%	(6)	38%	(8/21)	50%	(7)
<b>Comorbidities</b>								
High cardiovascular risk profile (≥2 of hypertension, hyperlipidemia, diabetes)	55%	(32)	36%	(8)	64%	(14)	71%	(10)
Hypertension	64%	(37)	59%	(13)	59%	(13)	79%	(11)
Hyperlipidemia	62%	(36)	50%	(11)	59%	(13)	86%	(12)
Diabetes	28%	(16)	9%	(2)	45%	(10)	29%	(4)
Previous atherosclerotic complications (CAD and/or CVA)	22%	(13)	0%	(0)	36%	(8)	36%	(5)
Congestive heart failure	12%	(7)	0%	(0)	14%	(3)	29%	(4)
Current or former smoker	37%	(21/57)	27%	(6)	38%	(8/21)	50%	(7)
Lung disease (COPD, emphysema, asthma, bronchiectasis)	21%	(12)	18%	(4)	27%	(6)	14%	(2)
Chronic kidney disease (eGFR < 60 mL/min)	24%	(14)	23%	(5)	27%	(6)	21%	(3)
History of other malignancy	9%	(5)	5%	(1)	5%	(1)	21%	(3)
Number of comorbidities	2	[3]	1	[1.75]	3	[3]	3.5	[1.75]
<b>Concomitant medication</b>								
Anticoagulation	21%	(12)	9%	(2)	27%	(6)	29%	(4)
Aspirin	59%	(34)	59%	(13)	59%	(13)	57%	(8)
Statin	47%	(27)	14%	(3)	59%	(13)	79%	(11)
ACE inhibitor or angiotensin II receptor blocker	45%	(26)	36%	(8)	41%	(9)	64%	(9)
Beta blocker	34%	(20)	9%	(2)	50%	(11)	50%	(7)
Metformin	16%	(9)	5%	(1)	32%	(7)	7%	(1)
Non-steroidal anti-inflammatory drug	5%	(3)	5%	(1)	5%	(1)	7%	(1)
Oral corticosteroids	53%	(31)	45%	(10)	50%	(11)	71%	(10)
COVID-19 confirmed by PCR at MSH	71%	(41)	59%	(13)	86%	(19)	64%	(9)

**Note:** values are presented as percentage (n) or median [interquartile range].

**Abbreviations:** BMI, body mass index; CAD, coronary artery disease; CVA, cerebrovascular accident; COPD, chronic obstructive pulmonary disease; eGFR, estimated glomerular filtration rate; ACE, angiotensin converting enzyme; NSAID, non-steroidal anti-inflammatory drug; MSH, Mount Sinai Hospital

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544 **Table 2: Myeloma disease characteristics of patients**

	All patients n = 58		Patients not admitted to hospital n = 22		Hospitalized, discharged alive n = 22		Hospitalized, deceased n = 14	
<b>Disease characteristics</b>								
SMM	7%	(4)	9%	(2)	5%	(1)	7%	(1)
SMM/MM subtype								
IgD	2%	(1)	5%	(1)	0%	(0)	0%	(0)
IgG	59%	(34)	59%	(13)	64%	(14)	50%	(7)
IgA	19%	(11)	23%	(5)	14%	(3)	21%	(3)
Light chain disease	33%	(19)	23%	(5)	41%	(9)	36%	(5)
Extramedullary disease history	31%	(18)	36%	(8)	32%	(7)	21%	(3)
ISS at diagnosis								
1	33%	(18/54)	45%	(9/20)	43%	(9/21)	0%	(0/13)
2	26%	(14/54)	25%	(5/20)	33%	(7/21)	15%	(2/13)
3	19%	(10/54)	20%	(4/20)	14%	(3/21)	23%	(3/13)
not known	22%	(12/54)	10%	(2/20)	10%	(2/21)	62%	(8/13)
High risk cytogenetics	39%	(22/56)	33%	(7/21)	41%	(9)	46%	(6/13)
Time since MM diagnosis (months)	29.8	[44.2]	44.8	[38.7]	27.2	[55.8]	28.6	[23.6]
History of ASCT	41%	(22/54)	60%	(12/20)	24%	(5/21)	38%	(5/13)
Lines of therapy (n)	1.5	[2]	2	[2]	2	[3]	1	[1]
More than 4 lines of treatment	17%	(9/54)	20%	(4/20)	19%	(4/21)	8%	(1/13)
ECOG 0	47%	(27)	64%	(14)	41%	(9)	29%	(4)
Current response status*								
sCR or CR	26%	(15)	45%	(10)	14%	(3)	14%	(2)
VGPR	19%	(11)	18%	(4)	14%	(3)	29%	(4)
PR	22%	(13)	18%	(4)	23%	(5)	29%	(4)
SD	3%	(2)	5%	(1)	5%	(1)	0%	(0)
PD	16%	(9)	5%	(1)	23%	(5)	21%	(3)
Not evaluable	14%	(8)	9%	(2)	23%	(5)	7%	(1)
<b>Current MM treatment regimen</b>								
Contains CD38 mAb	48%	(28)	50%	(11)	50%	(11)	43%	(6)
Contains IMiD	55%	(32)	55%	(12)	59%	(13)	50%	(7)
Contains proteasome inhibitor	38%	(22)	27%	(6)	41%	(9)	50%	(7)
Contains corticosteroids	52%	(30)	45%	(10)	50%	(11)	64%	(9)
Contains venetoclax	9%	(5)	14%	(3)	9%	(2)	0%	(0)
No active treatment	19%	(11)	18%	(4)	18%	(4)	21%	(3)
<b>Biochemical parameters at last clinic visit before COVID-19 episode</b>								
Leukocyte count (x10e9/L)	4.6	[2.1]	4.1	[2.1]	5.2	[2.4]	4.7	[1.5]
Leukocytopenia (< 4 x 10e9/L)	35%	(20/57)	41%	(9)	33%	(7/21)	29%	(4)
Absolute neutrophil count (x10e9/L)	2.6	[2.2]	2.4	[1]	3.4	[3]	2.4	[1.4]
Neutropenia (< 2 x10e9/L)	26%	(15/57)	32%	(7)	29%	(6/21)	14%	(2)
Absolute lymphocyte count (x10e9/L)	1	[0.8]	1.1	[1.2]	0.8	[0.9]	1.2	[0.5]
Lymphocytopenia (< 0.5 x 10e9/L)	12%	(7/57)	0%	(0)	33%	(7/21)	0%	(0)
Serum free light chain ratio (involved/uninvolved)	2.5	[11.9]	1.6	[3.3]	7.7	[45.5]	1.8	[5.2]
M spike (g/dL)	0	[0.6]	0	[0.2]	0.3	[1.2]	0.1	[0.4]
IgG (mg/dL)	805	[736]	1074.5	[683.8]	764	[1121]	727	[496.8]
Hypogammaglobulinemia (IgG < 700 mg/dL)	37%	(21/57)	32%	(7)	38%	(8/21)	43%	(6)
Severe hypogammaglobulinemia (IgG < 400 mg/dL)	11%	(6/57)	0%	(0)	10%	(2/21)	29%	(4)
Immunoparesis	89%	(51/57)	82%	(18)	95%	(20/21)	93%	(13)

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**Note:** values are presented as percentage (n) or median [interquartile range].

**Abbreviations:** SMM, smoldering multiple myeloma; Ig, immunoglobulin; ISS, international staging system; MM, multiple myeloma; ASCT, autologous stem cell transplant; ECOG, Eastern Cooperative Oncology Group; sCR, stringent complete response; CR, complete response; VGPR, very good partial response; SD, stable disease; PD, progressive disease; mAb, monoclonal antibody; IMiD, immunomodulatory agent;\*according to IMWG criteria

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548 **Table 3: Clinical parameters, treatments and outcomes of patients hospitalized**

549 **due to COVID-19 at Mount Sinai Hospital**

<i>Subset of patients treated at Mount Sinai hospital for which full clinical data was available</i>	<b>Hospitalized patients total</b>		<b>Hospitalized patients alive</b>		<b>Hospitalized patients deceased</b>	
	<b>n = 23</b>		<b>n = 16</b>		<b>n = 7</b>	
<b>Clinical presentation</b>						
Fever	70%	(16)	69%	(11)	71%	(5)
Systolic BP < 90 mmHg	9%	(2)	0%	(0)	29%	(2)
MAP < 65 mmHg	9%	(2)	0%	(0)	29%	(2)
Heart rate > 100 bpm	48%	(11)	56%	(9)	29%	(2)
RR > 20/min	26%	(6)	19%	(3)	43%	(3)
Oxygen requirement at presentation						
None	57%	(13)	69%	(11)	29%	(2)
Nasal canula or NRB mask	30%	(7)	25%	(4)	43%	(3)
High-flow oxygen, CPAP or BiPAP	4%	(1)	0%	(0)	14%	(1)
Mechanical ventilation	9%	(2)	6%	(1)	14%	(1)
<b>Treatment initiated</b>						
Remdesivir	4%	(1)	6%	(1)	0%	(0)
Hydroxychloroquine	74%	(17)	69%	(11)	86%	(6)
Azithromycin	74%	(17)	75%	(12)	71%	(5)
Other antibiotics	83%	(19)	81%	(13)	86%	(6)
G-CSF	26%	(6)	38%	(6)	0%	(0)
Therapeutic anticoagulation	78%	(18)	69%	(11)	100%	(7)
Systemic corticosteroids	43%	(10)	31%	(5)	71%	(5)
Anti-TNF	4%	(1)	0%	(0)	14%	(1)
Anti-IL-1	9%	(2)	0%	(0)	29%	(2)
Anti-IL-6	17%	(4)	13%	(2)	29%	(2)
Selinexor	22%	(5)	25%	(4)	14%	(1)
Convalescent plasma	4%	(1)	6%	(1)	0%	(0)
<b>Complications</b>						
Highest level of oxygen requirement						
None	26%	(6)	31%	(5)	14%	(1)
Nasal canula or NRB mask	39%	(9)	56%	(9)	0%	(0)
High-flow oxygen, CPAP or BiPAP	13%	(3)	6%	(1)	29%	(2)
Mechanical ventilation	22%	(5)	6%	(1)	57%	(4)
ICU	30%	(7)	6%	(1)	86%	(6)
Acute kidney injury	52%	(12)	38%	(6)	86%	(6)
Shock	30%	(7)	0%	(0)	100%	(7)
Sepsis or HAP/VAP	9%	(2)	6%	(1)	14%	(1)
C. difficile infection	4%	(1)	6%	(1)	0%	(0)
Cardiac complication	30%	(7)	19%	(3)	57%	(4)
<b>Worst biochemical parameters</b>						
CRP	151.5	[178.1]	144.9	[107.7]	294.1	[132.9]
Total leukocyte count (x10e9/L) (lowest)	3.3	[2.6]	2.9	[2.4]	4.3	[8.4]
Absolute lymphocyte count (x10e9/L) (lowest)	0.3	[0.4]	0.4	[0.5]	0.2	[0.2]
Creatinine (mg/dL)	1.6	[2.4]	1.1	[1.4]	2.7	[2]
Procalcitonin (ng/mL)	0.8	[2.1]	0.5	[0.8]	2.7	[9.9]



Ferritin (µg/L)	2537	[2578]	1282	[2170.5]	3409	[2018]
Fibrinogen (mg/dL)	667	[167]	646	[209.5]	673.5	[43.8]
D-dimer (mg/L)	2.5	[14.3]	2	[2.6]	20	[7.6]
LDH (U/L)	531.5	[515.8]	478	[329]	739	[344]
ALT (U/L)	61	[58.5]	43.5	[52.8]	79	[32]
AST (U/L)	78	[62.5]	49.5	[54.3]	101	[51.5]
IL-1β (pg/mL)	0.5	[0.9]	0.5	[0.8]	0.5	[0.9]
IL-6 (pg/mL)	128.5	[211.6]	119.3	[102.4]	296.8	[821.2]
IL-8 (pg/mL)	65.4	[62.3]	46.6	[67.6]	137	[89.6]
TNF-alfa (pg/mL)	28.7	[11]	29.4	[8.4]	21.3	[15.2]

Note: values are presented as percentage (n) or median [interquartile range].

Abbreviations: BP, blood pressure; MAP, mean arterial pressure; SpO<sub>2</sub>, oxygen saturation; RR, respiratory rate; NRB, non-rebreather; CPAP, continuous positive airway pressure; BiPAP, bilevel positive airway pressure; G-CSF, granulocyte colony stimulating factor; TNF, tumor necrosis factor; IL, interleukin; ICU, intensive care unit; HAP, hospital-acquired pneumonia; VAP, ventilator-associated pneumonia; CRP, C-reactive protein; LDH, lactate dehydrogenase; ALT, alanine aminotransferase; AST, aspartate aminotransferase

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552 **Table 4: Univariate associations of selected variables with risk of hospitalization**  
 553 **and mortality**

	Hospitalized vs non-hospitalized OR [95% CI] or median NH/H	n = 58 <i>p</i> value	Mortality (dead vs alive) OR [95% CI] or median dead/alive	n = 58 <i>p</i> value
<b>Demographics</b>				
Age (> 70 years)	7.74 [1.51 - 78.12]	<b>0.007</b>	1.32 [0.29 - 5.47]	0.744
Sex (male)	3.7 [1.08 - 13.81]	<b>0.030</b>	1.33 [0.34 - 5.48]	0.762
Race (non-white)	1.8 [0.52 - 6.27]	0.398	10.49 [1.35 - 481.76]	<b>0.011</b>
Obesity (BMI ≥ 30 kg/m <sup>2</sup> )	1.98 [0.56 - 7.72]	0.272	2.04 [0.5 - 8.4]	0.340
<b>Comorbidities</b>				
High cardiovascular risk profile (≥2 of hypertension, hyperlipidemia, diabetes)	3.42 [1.01 - 12.4]	<b>0.032</b>	2.46 [0.59 - 12.43]	0.221
Hypertension	1.38 [0.4 - 4.73]	0.585	2.5 [0.55 - 15.93]	0.220
Hyperlipidemia	2.24 [0.66 - 7.81]	0.170	4.88 [0.92 - 49.98]	0.057
Diabetes	6.18 [1.19 - 62.84]	<b>0.016</b>	1.07 [0.2 - 4.67]	1.000
Coronary artery disease	Inf [1.64 - Inf]	<b>0.009</b>	2.49 [0.43 - 13.07]	0.233
Stroke	∞ [0.58 - ∞]	0.145	2.24 [0.17 - 22.05]	0.585
Congestive heart failure	∞ [0.96 - ∞]	<b>0.037</b>	5.26 [0.76 - 41.93]	0.051
Current or former smoker	1.98 [0.56 - 7.72]	0.272	2.04 [0.5 - 8.4]	0.340
Lung disease (COPD, emphysema, asthma, bronchiectasis)	1.28 [0.29 - 6.69]	1.000	0.57 [0.05 - 3.3]	0.711
Chronic kidney disease (eGFR < 60 mL/min)	1.13 [0.28 - 5.06]	1.000	0.82 [0.12 - 3.97]	1.000
History of other malignancy	2.59 [0.23 - 135.24]	0.640	5.51 [0.56 - 73.43]	0.085
Number of comorbidities	1/3	<b>0.011</b>	2/3.5	0.055
<b>Concomitant medications</b>				
Anticoagulation	3.77 [0.69 - 39.19]	0.108	1.78 [0.32 - 8.51]	0.457
ACE inhibitor or angiotensin II receptor blocker	1.73 [0.52 - 6.06]	0.416	2.81 [0.7 - 12.6]	0.126
Beta blocker	9.63 [1.89 - 97.14]	<b>0.002</b>	2.35 [0.58 - 9.72]	0.203
Metformin	5.85 [0.69 - 278.29]	0.133	0.35 [0.01 - 3.08]	0.431
Statin	12.06 [2.78 - 76.13]	<b>&lt;0.001</b>	6.21 [1.37 - 39.77]	<b>0.012</b>
Aspirin	0.97 [0.28 - 3.23]	1.000	0.92 [0.23 - 3.83]	1.000
NSAID	1.23 [0.06 - 76.27]	1.000	1.6 [0.03 - 33.14]	1.000
Oral corticosteroids	1.66 [0.51 - 5.61]	0.420	2.69 [0.65 - 13.59]	0.139
<b>Disease characteristics</b>				
Light chain disease	2.09 [0.44 - 13.55]	0.342	1.19 [0.26 - 4.88]	1.000
High risk cytogenetics	1.49 [0.43 - 5.52]	0.577	1.44 [0.33 - 6.03]	0.747
History of ASCT	0.29 [0.07 - 1.02]	<b>0.044</b>	0.88 [0.19 - 3.72]	1.000
<b>Biochemical parameters at last clinic visit before COVID-19 episode</b>				
Leukocytopenia (< 4 x 10 <sup>9</sup> /L)	0.67 [0.19 - 2.34]	0.571	0.68 [0.13 - 2.88]	0.749
Lymphocytopenia (< 0.5 x 10 <sup>9</sup> /L)	∞ [0.99 - ∞]	<b>0.036</b>	0 [0 - 2.07]	0.176
Neutropenia (< 2 x 10 <sup>9</sup> /L)	0.64 [0.16 - 2.52]	0.542	0.39 [0.04 - 2.16]	0.312
IgG level (mg/dL)	1074.5 / 738	0.297	869 / 718	0.074

Hypogammaglobulinemia (IgG < 700 mg/dL)	1.42 [0.41 - 5.24]	0.584	1.39 [0.33 - 5.61]	0.751
Severe hypogammaglobulinemia (IgG < 400 mg/dL)	∞ [0.79 - ∞]	0.072	7.8 [0.97 - 97.75]	<b>0.027</b>
Immunoparesis	3.58 [0.46 - 43.2]	0.192	1.70 [0.17 - 87.01]	1.000

**Peak biochemical parameters during hospitalization**

*Note: variables below only apply to the subset of patients hospitalized at Mount Sinai Hospital* n = 23

CRP (mg/L)	-	-	144.8 / 289.4	<b>0.019</b>
Total leukocyte count (x10e9/L) (lowest)	-	-	2.9 / 4.2	0.444
Absolute lymphocyte count (x10e9/L) (lowest)	-	-	0.4 / 0.2	0.319
Creatinine (mg/dL)	-	-	1.1 / 2.5	0.052
Procalcitonin (ng/mL)	-	-	0.5 / 2.3	<b>0.010</b>
Ferritin (µg/L)	-	-	1282 / 3474	<b>0.007</b>
Fibrinogen (mg/dL)	-	-	646 / 667	0.888
D-dimer (mg/L)	-	-	2 / 18.2	<b>0.004</b>
LDH (U/L)	-	-	478 / 830	0.065
ALT (U/L)	-	-	43.5 / 76.5	0.244
AST (U/L)	-	-	49.5 / 100	0.054
IL-1β (pg/mL)	-	-	0.5 / 0.5	1.000
IL-6 (pg/mL)	-	-	119.2 / 296.8	0.117
IL-8 (pg/mL)	-	-	46.6 / 137	0.104
TNF-alfa (pg/mL)	-	-	29.4 / 21.3	0.574

**Note:** values are presented as OR [95% confidence interval] or median of group 1/median of group 2; p values according to Wilcoxon test for continuous variables and Fisher's exact test for categorical variables

**Abbreviations:** OR, odds ratio; CI, confidence interval; NH, not hospitalized; H, hospitalized; BMI, body mass index; COPD, chronic obstructive pulmonary disease; eGFR, estimated glomerular filtration rate; ACE, angiotensin converting enzyme; NSAID, non-steroidal anti-inflammatory drug; ASCT, autologous stem cell transplant; Ig, immunoglobulin; CRP, C-reactive protein; ALT, alanine aminotransferase; AST, aspartate aminotransferase; IL, interleukin; TNF, tumor necrosis factor

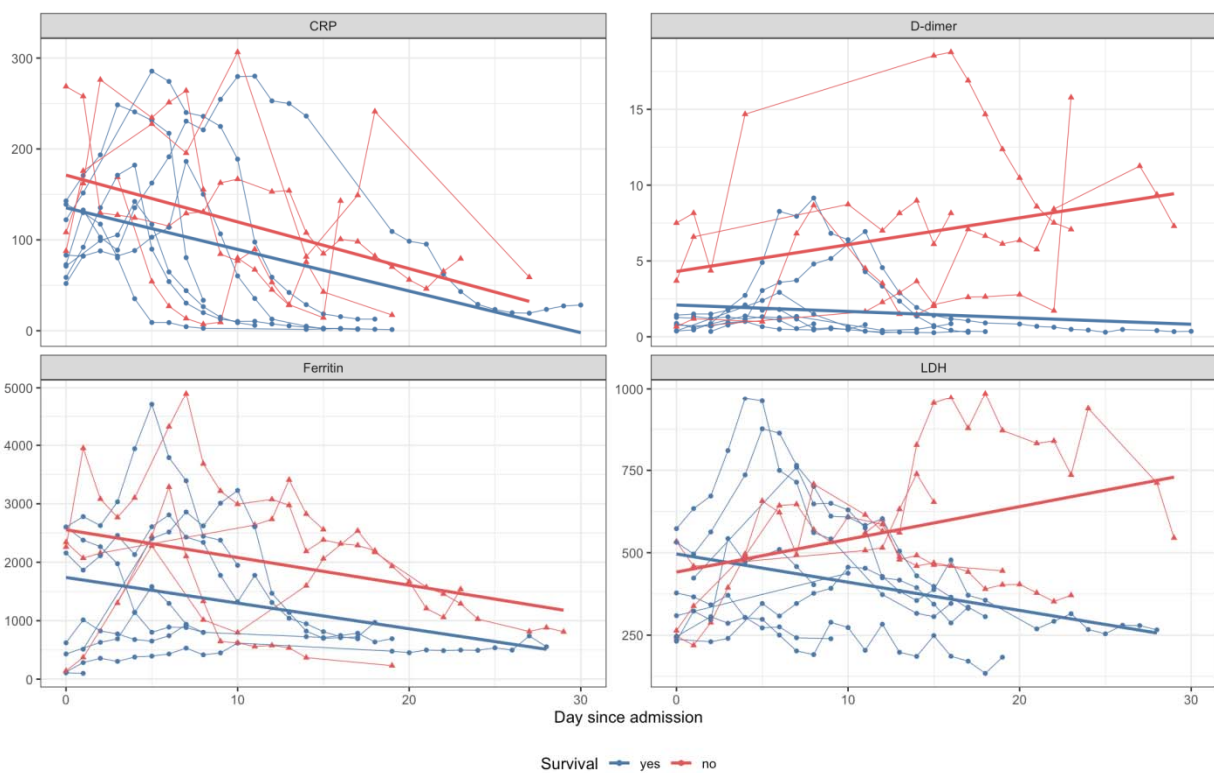
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556 **Supplementary Figures**

557 **Figure S1:** Evolution of selected inflammatory biomarkers in a subset of patients (n = 12)  
558 hospitalized at the Mount Sinai Hospital for which the data was available. Different  
559 measurements from the same patient are connected. A linear regression line is plotted for the  
560 subgroup of patients that survived (blue, n = 8) and died (red, n = 4), respectively.

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